

Receipt
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Irvin R. COHEN et al.

Confirmation No.: 5950

Application No.: 10/032,482

Group Art Unit: 1642

Filing Date: January 2, 2002

Examiner: Sean E. Aeder

For: IMMUNOGENIC COMPOSITIONS FOR
INDUCTION OF ANTI-TUMOR
IMMUNITY

Attorney Docket No.: 85189-700

REQUEST FOR CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination

Customer Service Center

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

A Filing Receipt for the above-identified application has been received and the following corrections are respectfully requested.

Please change the Attorney Docket No. from "COHEN=42A" to -- 85189-700 --.

At "Domestic Priority data as claimed by applicant", change "PCT/IL98/00211" to -- PCT/IL98/00266 06/09/1998 --. This change is requested to correct an inadvertent typographical error in the International application number made page 1 of the specification. A Supplemental Amendment was filed on October 13, 2005 to correct the error in the specification, a copy of which is attached hereto. Also enclosed is a copy of the Declaration and Power of Attorney filed in the parent application that includes the correct International application number PCT/IL98/00266.

A marked-up copy of the Filing Receipt with the requested changes noted thereon is attached. Please issue a corrected Filing Receipt in due course.

No fee is believed to be due for this submission. Should any fees be required, however, please charge such fees to Winston & Strawn LLP Deposit Account No. 50-1814.

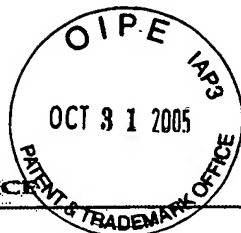
Respectfully submitted,

10/31/05
Date


Allan A. Fanucci (Reg. No. 30,256)

WINSTON & STRAWN LLP
Customer No. 28765

212-294-3311



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/032,482	01/02/2002	1642	412	COHEN-42A 85189-700	5	11	4

CONFIRMATION NO. 5950

CORRECTED FILING RECEIPT



OC000000009489671

28765
WINSTON & STRAWN
PATENT DEPARTMENT
1400 L STREET, N.W.
WASHINGTON, DC 20005-3502

Date Mailed: 02/06/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Irvin R. Cohen, Rehovot, ISRAEL;
Varda Rotter, Rishon LeZion, ISRAEL;
Roland Wolkowicz, Redwood City, CA;
Pedro Ruiz, Stanford, CA;
Neta Erez-Alon, Tel Aviv, ISRAEL;
Johannes Herkel, Wuerzburg, GERMANY;

Assignment For Published Patent Application

Yeda Research and Development Co. Ltd., Rehovot, ISRAEL;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/445,602 03/23/2000 ABN
which is a 371 of PCT/IL98/00211 06/09/1998

PCT/IL98/00266

Foreign Applications

ISRAEL 121041 06/09/1997

If Required, Foreign Filing License Granted: 02/05/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ******Title**

Immunogenic compositions for induction of anti-tumor immunity

Preliminary Class

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COPY

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In re Application of: Irun R. COHEN et al.

Confirmation No.: 5950

Application No.: 10/032,482

Group Art Unit: 1642

Filing Date: January 2, 2002

Examiner: Sean E. Aeder

For: IMMUNOGENIC COMPOSITIONS
FOR INDUCTION OF ANTI-TUMOR
ACTIVITY

Attorney Docket No.: 85189-700

SUPPLEMENTAL AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the following amendments and remarks be entered into the file of this application prior to examination thereof.

SPECIFICATION AMENDMENTS begin on page 2 of this paper.

REMARKS begin on page 3 of this paper.

No fee is believed to be due for this response. Should any fees be required, however, please charge such fees to Winston & Strawn LLP Deposit Account No. 50-1814.

SPECIFICATION AMENDMENTS

Please replace previously amended paragraph [0001] of the specification with the following substitute paragraph:

--The present application is a division of U.S. Application No. 09/445,602, which was filed on March 23, 2000, now abandoned; which is the national stage under 35 U.S.C. § 371 of ~~PCT/IL98/00211~~ International Application No. PCT/IL98/00266, filed on June 9, 1998, the entire contents of each being incorporated herein by reference.--.

REMARKS

The specification has been amended to correct an inadvertent typographical error in the identity of the parent International application no. PCT/IL98/00266. Support for this change appears on the Declaration filed in prior application 09/445,602, a copy of which was filed in the present application. No new matter is being entered by these changes.

Respectfully submitted,

10/13/05
Date

Allan A. Fanucci
Allan A. Fanucci (Reg. No. 30,256)

WINSTON & STRAWN LLP
Customer No. 28765
212-294-3311

Combined Declaration for Patent Application and Power of Attorney

COPY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

IMMUNOGENIC COMPOSITIONS FOR INDUCTION OF ANTI-TUMOR IMMUNITY

the specification of which (check one)

- [] is attached hereto;
 [] was filed in the United States under 35 U.S.C. §111 on _____, as
 U.S. Appl. No. _____*; or
 [X] was/will be filed in the U.S. under 35 U.S.C. §371 by entry into the U.S. national stage of an
 international (PCT) application, PCT/IL98/00266; filed 9 June 1998
 entry requested on 9 December 1999*; national stage application received U.S.
 Appl. No. _____*; §371/§102(e) date _____* (* if known)

and was amended on 28 June 1999 (if applicable).

(include dates of amendments under PCT Art. 19 and 34 if PCT)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and I acknowledge the duty to disclose to the Patent and Trademark Office (PTO) all information known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119 and 365 of any prior foreign application(s) for patent or inventor's certificate, or prior PCT application(s) designating a country other than the U.S., listed below with the "Yes" box checked and have also identified below any such application having a filing date before that of the application on which priority is claimed:

<u>121041</u>	<u>Israel</u>	<u>9 June 1997</u>	[x] []
(Number)	(Country)	(Day Month Year Filed)	YES NO
_____	_____	_____	[] []
(Number)	(Country)	(Day Month Year Filed)	YES NO

I hereby claim the benefit under 35 U.S.C. §120 of any prior U.S. non-provisional application(s) or prior PCT application(s) designating the U.S. listed below, or under §119(e) of any prior U.S. provisional applications listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in such U.S. or PCT application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the PTO all information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application and the national filing date of this application:

_____	_____	_____
(Application No.)	(Day Month Year Filed)	(Status: patented, pending, abandoned)
_____	_____	_____
(Application No.)	(Day Month Year Filed)	(Status: patented, pending, abandoned)
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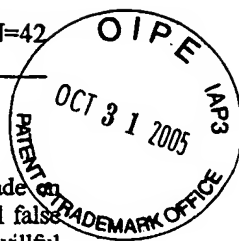
As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All of the practitioners associated with Customer Number 001444

Direct all correspondence to the address associated with Customer Number 001444; i.e.,

BROWDY AND NEIMARK, P.L.L.C.
 624 Ninth Street, N.W.
 Washington, D.C. 20001-5303
 (202) 628-5197

The undersigned hereby authorizes the U.S. Attorneys or Agents appointed herein to accept and follow instructions from Yeda Research and Development Co. Ltd. as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. Attorneys or Agents and the undersigned. In the event of a change of the persons from whom instructions may be taken, the U.S. Attorneys or Agents appointed herein will be so notified by the undersigned.

Title: IMMUNOGENIC COMPOSITIONS FOR INDUCTION OF ANTI-TUMOR IMMUNITYU.S. Application filed _____, Serial No. _____
PCT Application filed 9 June 1998, Serial No. PCT/IL98/00266

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made of information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST INVENTOR Irun R. COHEN	INVENTOR'S SIGNATURE 	DATE 20 Jan 2000
RESIDENT Rehovot, Israel	CITIZENSHIP Israeli	
POST OFFICE ADDRESS Hankin Street 11, 76354 Rehovot, Israel		
FULL NAME OF SECOND JOINT INVENTOR Varda ROTTER	INVENTOR'S SIGNATURE 	DATE Feb-6 2000
RESIDENT Rishon LeZion, Israel	CITIZENSHIP Israeli	
POST OFFICE ADDRESS Mivtsa Kadesh 2, 75221 Rishon LeZion, Israel		
FULL NAME OF THIRD JOINT INVENTOR Roland WOLKOWICZ	INVENTOR'S SIGNATURE 	DATE
RESIDENT Redwood City, California	CITIZENSHIP Israeli	
POST OFFICE ADDRESS Apartment 9, 130 Arch Street, Redwood City, California		
FULL NAME OF FOURTH JOINT INVENTOR Pedro RUIZ	INVENTOR'S SIGNATURE 	DATE
RESIDENT Stanford, California	CITIZENSHIP Colombian	
POST OFFICE ADDRESS Beckman Center B002, Stanford, California 94305-5429		
FULL NAME OF FIFTH JOINT INVENTOR Neta EREZ-ALON	INVENTOR'S SIGNATURE 	DATE 6 Feb 2000
RESIDENT Tel Aviv, Israel	CITIZENSHIP Israeli	
POST OFFICE ADDRESS Lea Street 18, 69412 Tel Aviv, Israel		
FULL NAME OF SIXTH JOINT INVENTOR Johannes HERKEL	INVENTOR'S SIGNATURE 	DATE
RESIDENT Wuerzburg, Germany	CITIZENSHIP German	
POST OFFICE ADDRESS Griesaeckerstrasse 3, D-97078 Wuerzburg, Germany		
FULL NAME OF SEVENTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENT	CITIZENSHIP	
POST OFFICE ADDRESS		

ALL INVENTORS MUST REVIEW APPLICATION AND DECLARATION BEFORE SIGNING. ALL ALTERATIONS MUST BE INITIALED AND DATED BY ALL INVENTORS PRIOR TO EXECUTION. NO ALTERATIONS CAN BE MADE AFTER THE DECLARATION IS SIGNED. ALL PAGES OF DECLARATION MUST BE SEEN BY ALL INVENTORS.



Combined Declaration for Patent Application and Power of Attorney

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My residence, post office address and citizenship are as stated below next to my name; and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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the specification of which (check one)

- [] is attached hereto;
 [] was filed in the United States under 35 U.S.C. §111 on _____, as
 U.S. Appl. No. _____*, or
 [X] was/will be filed in the U.S. under 35 U.S.C. §371 by entry into the U.S. national stage of an
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<u>121041</u>	<u>Israel</u>	<u>9 June 1997</u>	[xx]	[]
(Number)	(Country)	(Day Month Year Filed)	YES	NO
<u> </u>	<u> </u>	<u> </u>	[]	[]
(Number)	(Country)	(Day Month Year Filed)	YES	NO

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<u> </u>	<u> </u>	<u> </u>
(Application No.)	(Day Month Year Filed)	(Status: patented, pending, abandoned)
<u> </u>	<u> </u>	<u> </u>
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As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All of the practitioners associated with Customer Number 001444

Direct all correspondence to the address associated with Customer Number 001444; i.e.,

BROWDY AND NEIMARK, P.L.L.C.
 624 Ninth Street, N.W.
 Washington, D.C. 20001-5303
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POST OFFICE ADDRESS Mivtza Kadesh 2, 75221 Rishon LeZion, Israel			
FULL NAME OF THIRD JOINT INVENTOR Roland WOLKOWICZ		INVENTOR'S SIGNATURE <i>X Roland Wolkowicz</i>	DATE X 1.24.00
RESIDENT Redwood City, California		CITIZENSHIP Israeli	
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the specification of which (check one)

- ☐ is attached hereto;
☐ was filed in the United States under 35 U.S.C. §111 on _____, as U.S. Appl. No. _____; or
☒ was/will be filed in the U.S. under 35 U.S.C. §371 by entry into the U.S. national stage of an international (PCT) application, PCT/IL98/00266; filed 9 June 1998; entry requested on 9 December 1999; national stage application received U.S. Appl. No. _____; §371/§102(e) date _____ ("if known")

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12104	Israel	9 June 1997	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day Month Year Filed)	YES	NO
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
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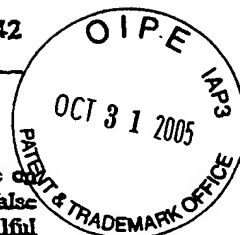
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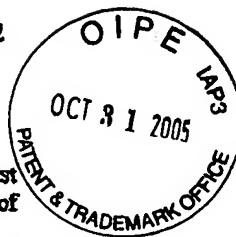


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FULL NAME OF FIRST INVENTOR		INVENTOR'S SIGNATURE		DATE
Irvin R. COHEN				
RESIDENT		CITIZENSHIP		
Rechovot, Israel		Israeli		
POST OFFICE ADDRESS				
Hankin Street 11, 76354 Rechovot, Israel				
FULL NAME OF SECOND JOINT INVENTOR		INVENTOR'S SIGNATURE		DATE
Varda ROTTER				
RESIDENT		CITIZENSHIP		
Rishon LeZion, Israel		Israeli		
POST OFFICE ADDRESS				
Mivtza Kadesh 2, 75221 Rishon LeZion, Israel				
FULL NAME OF THIRD JOINT INVENTOR		INVENTOR'S SIGNATURE		DATE
Roland WOLKO WICZ				
RESIDENT		CITIZENSHIP		
Redwood City, California		Israeli		
POST OFFICE ADDRESS				
Apartment 9, 130 Arch Street, Redwood City, California				
FULL NAME OF FOURTH JOINT INVENTOR		INVENTOR'S SIGNATURE		DATE
Pedro RUIZ		X <i>Pedro Ruiz</i>		X Jan. 18.00
RESIDENT		CITIZENSHIP		
Stanford, California		Colombian		
POST OFFICE ADDRESS				
Beckman Center, 3002, Stanford, California 94305-5429				
FULL NAME OF FIFTH JOINT INVENTOR		INVENTOR'S SIGNATURE		DATE
Neta EREZ-ALON				
RESIDENT		CITIZENSHIP		
Tel Aviv, Israel		Israeli		
POST OFFICE ADDRESS				
Lea Street 18, 6912 Tel Aviv, Israel				
FULL NAME OF SIXTH JOINT INVENTOR		INVENTOR'S SIGNATURE		DATE
Johannes HERKE				
RESIDENT		CITIZENSHIP		
Wuerzburg, Germany		German		
POST OFFICE ADDRESS				
Griesackerstrasse 3, D-97078 Wuerzburg, Germany				
FULL NAME OF SEVENTH JOINT INVENTOR		INVENTOR'S SIGNATURE		DATE
RESIDENT		CITIZENSHIP		
POST OFFICE ADDRESS				

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the specification of which (check one)

- [] is attached hereto;
 [] was filed in the United States under 35 U.S.C. §111 on _____, as
 U.S. Appl. No. _____, or
 [X] was/will be filed in the U.S. under 35 U.S.C. §371 by entry into the U.S. national stage of an
 international (PCT) application, PCT/IL98/00266; filed 9 June 1998
 entry requested on 9 December 1999; national stage application received U.S.
 Appl. No. _____, §371/§102(c) date _____ (* if known)

and was amended on 28 June 1999 (if applicable).
(include dates of amendments under PCT Art. 19 and 34 if PCT)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above, and I acknowledge the duty to disclose to the Patent and Trademark Office (PTO) all information known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119 and 365 of any prior foreign application(s) for patent or inventor's certificate, or prior PCT application(s) designating a country other than the U.S., listed below with the "Yes" box checked and have also identified below any such application having a filing date before that of the application on which priority is claimed:

<u>121041</u>	<u>Israel</u>	<u>9 June 1997</u>	[x]	[]
(Number)	(Country)	(Day Month Year Filed)	YES	NO
_____	_____	_____	[]	[]
(Number)	(Country)	(Day Month Year Filed)	YES	NO

I hereby claim the benefit under 35 U.S.C. §120 of any prior U.S. non-provisional application(s) or prior PCT application(s) designating the U.S. listed below, or under §119(e) of any prior U.S. provisional applications listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in such U.S. or PCT application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the PTO all information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application and the national filing date of this application:

_____	_____	_____
(Application No.)	(Day Month Year Filed)	(Status: patented, pending, abandoned)
_____	_____	_____
(Application No.)	(Day Month Year Filed)	(Status: patented, pending, abandoned)
_____	_____	_____
(Application No.)	(Day Month Year Filed)	(Status: patented, pending, abandoned)

As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All of the practitioners associated with Customer Number 001444

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The undersigned hereby authorizes the U.S. Attorneys or Agents appointed herein to accept and follow instructions from Yeda Research and Development Co. Ltd. as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. Attorneys or Agents and the undersigned. In the event of a change of the persons from whom instructions may be taken, the U.S. Attorneys or Agents appointed herein will be so notified by the undersigned.

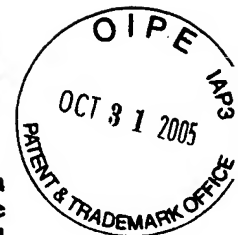
Title: IMMUNOGENIC COMPOSITIONS FOR INDUCTION OF ANTI-TUMOR IMMUNITY

U.S. Application filed

Serial No.

PCT Application filed 9 June 1998Serial No. PCT/IL98/00266

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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